Databank
Leadership

DATABANK BROKERAGE LIMITED
AML QUESTIONNAIRE - INDIVIDUAL

Category	Question	Yes	No	Rank	Remark
PEP					
I	Do you engage in active political activity?				
II	Do any of your relatives or family members engage in active political activity?				
Income	**Question**	**Yes**	**No**	**Rank**	**Remark**
I	Apart from your main sources of income do you receive some funds from other relatives or family members or any other transactions or deals?				
II	If yes. Can you give an estimate of how much you receive from those relatives or family members or deals or transactions? State the amount in GHC.				
Country of Origin	**Question**			**Rank**	**Remark**
I	Where is your country of origin? Provide the name of country.				

Names of blacklisted country: This should be scored:

Iran: Yemen: Syria: Ecuador: Libya: Pakistan: Myanmar: North Korea: Algeria: Somalia: Columbia:

Non-blacklisted countries should be scored: 0

Mode of Payment	Question			Rank	Remark
	What mode of payment do you intend to use for additional transactions? i. E-payment e.g. Credit or debit cards ☐ ii. Cheque ☐ iii. Bank transfers ☐ iv. Cash ☐				
	If yes. Get the details from the client.				
NON FACE-TO-FACE	**Question**	**Yes**	**No**	**Rank**	**Remark**
	Is the client completing the KYC online?				
	Are further or additional transactions going to be done online?				

NOTE: YES ☐   NO ☐

(LICENSED DEALING MEMBER OF THE GHANA STOCK EXCHANGE)

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