AFFIX PASSPORT PHOTO HERE

AFFIX PASSPORT PHOTO HERE



ACCOUNT OPENING FORM INDIVIDUAL/JOINT/ITF (In-trust-for)

Make deposits yourself. Any deposit given to a third party or a Databank staff member on your behalf is done at your own risk. Databank will not be held liable if the money does not reflect in your account. Please ensure you get an official stamped receipt for any deposit you make.

*CATEGORY OF INVESTMENT	
	Product type: ☐ Mutual Fund ☐ High Net Worth Individuals (HNI)
Product Product Product name 1: name 2: name 3:	Product Product name 4: name 5:
*PERSONAL DETAILS (FIRST APPLICANT)	
*Title: □ Dr. □ Prof. □ Mr. □ Mrs. □ Ms. □ Other (Please sp	pecify) *Marital Status: □ Single □ Married
*Surname: *First Nar	
	*Gender:
Other Names: Maiden N	lame:
	*Valid Photo ID: ☐ Ghana Card ☐ Passport
Previous Name: *Place of	Birth:
	*ID Number:
*Data of Biuths	tial Status: esident Ghanaian □ Resident Ghanaian
*Mother's Maiden Name:	esident Foreigner
	of Residence:// DD MM YYYY
*Country of Origin: Permit Issu	*Date of expiration:
If country of origin is not Ghana please provide the following:	
Resident Permit Number: Permit Exp	iry Date: *Place of Issue:
	Frace of issue.
Place of Issue: Profession	n: *Occupation:
Input Professional Licence Number (If Applicable)	TIN:
CONTACT DETAILS	
*Residential	Nearest
Address:	
(GhanaPost GPS):	Address: Address:
*City / Town: Address:	
* Mobile Number 1:	Mobile Number 2:
* Contact Details (In case of emergency):	
Contact name	Relationship to client Mobile number
*EMPLOYMENT / BUSINESS DETAILS	
_ , , _ , , _	Retired Student Total Monthly Income Range (GHC): Vears of Provious Below 1,000 Above 1,001 - 5,000
	Years of Previous Below 1,000 Above 1,001 - 3,000 Above 10,000 Above 10,000
NB: Income includes salary and other income/cash inflows	
Employer/Business/	Employer/Business/
School Name:	School Address:
Nearest Landmark:	Digital Address (GhanaPost GPS):
City/Town Na	ture of Business:
Business/School/Office Contact Number 1:	Business/School/Office Contact Number 2:
Business/School/	
Office Email:	

*Marital Status: *Title: □ Dr. □ Prof. □ Mr. □ Mrs. □ Ms. □ Other (Please specify) ☐ Single ☐ Married ☐ Divorced ☐ Widowed *Surname: *First Name: *Gender: ☐ Male ☐ Female **Other Names: Maiden Name:** *Valid Photo ID: ☐ Ghana Card ☐ Passport **Previous Name:** *Place of Birth: *ID Number: *Residential Status: ☐ Non-Resident Ghanaian ☐ Resident Ghanaian *Issue date: *Mother's Maiden Name: □ Non-Resident Foreigner □ Resident Foreigner *Country of Residence: DD MM YYYY *Country of Origin: *Date of expiration: Permit Issue Date: If country of origin is not Ghana please provide the following: DD Resident Permit Number: Permit Expiry Date: *Place of Issue: Place of Issue: **Profession:** *Occupation: **Input Professional Licence** TIN: Number (If Applicable) **CONTACT DETAILS** *Residential Nearest **Address:** Landmark **Digital Address Postal** (GhanaPost GPS): Address: **Email** *City / Town: Address: * Mobile Number 1: **Mobile Number 2:** * Contact Details (In case of emergency): Contact name Relationship to client Mobile number *EMPLOYMENT / BUSINESS DETAILS **Status:** ☐ Employed ☐ Self-employed ☐ Unemployed ☐ Retired ☐ Student **Total Monthly Income Range (GHC):** ☐ Below 1,000 ☐ Above 1,001 - 5,000 Years of Current Years of Previous Years of ☐ Above 5,000-10,000 ☐ Above 10,000 **Employment: Employment:** Employment: NB: Income includes salary and other income/cash inflows Employer/Business/ Employer/Business/ **School Name: School Address: Digital Address** Nearest Landmark: (GhanaPost GPS): City/Town **Nature of Business: Business/School/Office Business/School/Office Contact Number 1: Contact Number 2: Business/School/** Office Email:

*PERSONAL DETAILS (SECOND APPLICANT)

IN TRUST FOR					
Title: \square Dr. \square Prof. \square Mr. \square Mrs. \square Ms. \square Other (Please specify):					
*Surname:	*First Name:				
Other Names:					
Relationship with Account Applicant: Marital Status: *Gender: Single Married Male Female					
*Date of Birth: / MM /YYYY Place of Birth					
* Country	*Country of Residence:				
of Origin: Valid Photo ID:					
☐ Ghana Card ☐ Passport	umber: Issue date: / / / / YYYY				
·	e of issue: Expiry date:/ /				
If above 18 years old, kindly provide the following details:					
i. Reason for ITF account: ii. Mobile number: iii. Email address:					
NEXT OF KIN					
*Title: □ Dr. □ Prof. □ Mr. □ Mrs. □ Ms. □ Other (Please specify):	·				
*Surname:	*First Name:				
Relationship with Account Applicant: Marital Status: Gender: Single Married Male Female					
Date of Birth: / Place	of Birth:				
DD / MM / YYYY					
* Country of Origin:	Valid Photo ID: ☐ Ghana Card ☐ Passport ☐ Birth confiferate (if under 18 years)				
*Country of Residence:	☐ Birth certificate (if under 18 years) ID Number: Issue date: /				
Phone number:	Place of issue:				
Email:					

*CLIENT INVESTMENT PROFILE		Risk Tolerance	e: □ High □ M	edium 🗆 Low
☐ Concerned; not consider selling my investment ☐	our money? Less that ax income)? Yes mited Moderate w Low to Mediumed to for three or more yes Concerned; consider see Not overly concerned;	nn 1 year	n	_ ′
*EXPECTED ACCOUNT ACTIVITY	*1	NVESTMENT INS	IRUCTIONS	
*Source of Funds: Proceeds Inheritance from Business Gifts Other Please specify * Anticipated Investment Activity: Top-ups: Monthly Quarterly Bi-Annually Other Please specify Withdrawals: Monthly Quarterly Bi-Annual Other Please specify * Anticipated Investment Amount: Regular Top-up Amount (Expected): Regular Withdrawal Amount (Expected):	Savings Da D	ust also be completed if an vestment instructions m, you will be able to mak u have not previously d	amount is indicated in thing services and the service deposits into any Datasone so. However, you with you have read and coroceeded to make a deposite and the service deposits and the service and the servic	mpleting this application bank mutual fund, even if will not be considered a agreed to the terms and
*BANK ACCOUNT DETAILS				
Bank Name:	Bank Br	anch:		
Account Name:	Accoun	t Number:		
STATEMENT SERVICES/TRANSACTION A	LERTS			
Mode of Statement Delivery: ☐ Email ☐ On ★ Transaction alerts: ☐ SMS only ☐ Email onl ★ This is a pre-paid SMS and e-mail transactional alert service to be automatically deducted from your account on the and 30 days' notice will be required.	. An annual subscription cl	Alert me abo	deducted from your acco	☐ Sales ount. This fee will continue
SIGNATURES	lease indicate: 🗆	One to sign	Either to sign 🔲 E	Both to sign
Signature of first applicant Date// which is before applicant Signature of second applicant Date// Thumbpr	Declarant) Lument to and pending his/her thumbpri int/ Signature (Client)	hereby declare to the following declare to the	_ in a language of his/he understood an executing the form. (Declarant)	er understanding Indicate and approved of the contents
Date		Date		- Page 4

DECLARATION FORM

Please read the following carefully before completing the application form. Sign it and return it to the Databank representative only after you have satisfied yourself that you fully understand the provisions. Do not he sitate to approach a Databank representative for any clarification.

1. Investment purchase

All investments will be purchased daily. However, investments with cheques will be invested using the share price on the day the cheque clears.

2. Disclosure policy

Databank strives to be transparent in all its dealings with clients. As such, we will take the time to explain all the risks, limitations, opportunities and facts about this investment you are about to make. If you are unsure of anything, we request that you ask the Databank representative for any clarifications and information you require to make a decision.

3. Performance guarantees

The value of all Databank Mutual Funds may rise and fall. Past performance does not guarantee future returns. Gains/losses are realized only upon disinvestment. Please read the Scheme Particulars of the appropriate Fund(s) before investing. All Scheme Particulars are available at www.databankgroup.com.

4. Fund-specific disclosures

Signature:

KINDLY APPEND YOUR SIGNATURE AND DATE BELOW THE MUTUAL FUND(S) YOU WOULD LIKE TO SUBSCRIBE TO:

Databank Epack Investment Fund PLC (Epack) seeks to accumulate capital for its investors by investing up to 80% in equities in Ghana and other regulated African markets and 20% in fixed-income securities. The recommended minimum holding period for Epack is five (5) years. Returns on Epack are in the form of gains or losses, and can fluctuate considerably from one year to the next. Returns are not guaranteed. The past performance of Epack is only an indication (not a guarantee) of potential future returns. Withdrawals on deposits made within the first three years will attract an exit load (fee) ranging from 1% to 3% per deposit based on the length of time it has remained invested in Epack. You will receive proceeds of your withdrawal within five (5) business days after a withdrawal request.

Databank Balanced Fund PLC (BFund) seeks to provide growth of capital and current income. The Fund invests up to 40% in equities in Ghana and at least 60% in fixed-income securities. The recommended minimum holding period for BFund is four (4) years. Returns on BFund are in the form of gains or losses. Returns are not guaranteed. The past performance of BFund is only anindication (not a guarantee) of potential future returns. The Fund charges a front-load fee of 1% on each deposit. You will receive proceeds of your withdrawal within five (5) business days after a withdrawal request.

Signature:

Date:

___ Date: _

The past performance of MFund is only an indication (not a guarantee) of potential future returns. Returns on MFund are in the form of gains or losses. You will receive proceeds of your withdrawal after one (1) business day of a withdrawal request.

Databank MFund PLC (MFund) seeks to preserve investors' capital through investments in high-quality fixed-income securities using a two-tiered structure. Tier 1 is suitable for medium-term needs and has a recommended minimum holding period of 1 year. It invests up to 100% in fixed-income securities. Tier 2, which has a recommended minimum holding period of 3 months, is designed for investors with shorter-term needs. It invests up to 100% in money market securities. Each deposit into MFund (Tiers 1 & 2) attracts a front-load fee of 1%. Returns are not guaranteed. The past performance of MFund is only an indication (not a guarantee) of potential future returns. Returns on MFund are in the form of gains or losses. You will receive proceeds of your withdrawal within one (1) month of a withdrawal request for Tier 1 and five (5) business days for Tier 2.

_ Date: __

Databank Ark Fund PLC (ArkFund) seeks to achieve capital growth and income by investing up to 30% in equities in Ghana and at least 70% in fixed-income securities. The recommended minimum holding period for ArkFund is four (4) years. Returns on ArkFund are in the form of gains or losses. Returns are not guaranteed. The past performance of ArkFund is only an indication (not a guarantee) of potential future returns. The Fund charges a front-load fee of 1% on each deposit. You will receive proceeds of your withdrawal within five (5) business days after a withdrawal request.
Signature: Date:
Databank Educational Investment Fund PLC (EdlFund) seeks to help people invest toward their educational goals by investing in fixed-income securities and equities in Ghana and across Africa using a 2-tiered structure. Tier 1 is for investors with short-term educational needs and has a recommended minimum holding period of 3 months. It invests up to 100% in fixed-income securities. Tier 2, which has a recommended minimum holding period of 5 years, is designed for investors with longer-term educational needs. It invests up to 40% in equities in Ghana and at least 60% in fixed-income securities. Tier 2 offers an embedded life and total permanent disability insurance cover that will match funds held in Tier 2 to a maximum of GHC 20,000. The Fund agrees to adhere to the claims procedures set out in the insurance policy and will make claims due on behalf of clients. Returns are not guaranteed. The past performance of EdlFund is only an indication (not a guarantee) of potential future returns. The Fund charges a front-load fee of 0.75% on each deposit into Tier 1, and an exit load fee ranging from 1% to 5% for each withdrawal from Tier 2 that is done within 5 years of the deposit. You will receive proceeds of your withdrawal after one (1) business day for Tier 1 and within five (5) business days for Tier 2 after a withdrawal request.
Signature: Date:

DECLARATION

I/We......hereby declare that all the information submitted by me/us in this form is correct, true and valid, that by my/our request, to open and maintain securities account(s) in my/our name and undertake to notify (company name) of any changes to my/our particulars or information as may be necessary.

I/We understand that upon the death of an account holder, all funds remaining in a joint account shall automatically pass to / become the sole property of the surviving owner.

I/We also declare that we have read thoroughly and understood the contents of this application and have given my/our consent by virtue of my/our signature(s) on this form. I/We consent that investment decisions are my/our prerogative without sole reliance on the investment advice received from Databank. Databank accepts no liability for any direct or consequential loss arising from my/our decision.

I/We also declare that all debits incurred on my/our securities account(s) by virtue of my/our trade orders shall be settled by me/us accordingly. I declare that I have read and understood the terms and conditions of the product(s) that I have chosen to invest in, and that returns on Databank's mutual funds are not guaranteed. Any deposit given to a third party or a Databank staff member on my behalf is done at my own risk. Databank will not be held liable if the money does not reflect in your account.

Signature:	Date:

*CLIENT ADDITIONAL INFORMATION (1)

NB: THE FOLLOWING QUESTIONS ARE DESIGNED TO ENABLE THE INSTITUTION DETERMINE WHETHER THE CLIENT IS A POLITICALLY EXPOSED PERSON (PEP)

Do you, your spouse, or any other immediate family member, including parents, in-laws, siblings and dependants fall under the following:

1	A head of state/government, politician, senior public official, senior military offical, senior public cor official <u>in</u> Ghana	porat	ion o	fficer	, high ı	ank p	olitica	al par	ty
	If yes to any above, please specify name (if not the applicant) and nature of the position:								
2	A head of state/government, politician, senior public official, senior military offical, senior public corrank political party official <u>outside</u> Ghana	porat	ion o	fficer	, high				
	If yes to any above, please specify name (if not the applicant) and nature of the position:								
*CLIE	NT ADDITIONAL INFORMATION (2)								
	F FOLLOWING QUESTIONS ARE DESIGNED TO CAPTURE INFORMATION FOR COMMON REPORTING STAN In Account Tax Compliance Act)	IDARE	S AS	WELL	. AS FA	ТСА			
1	Are you a citizen of any foreign country (besides Ghana)?								
(2)	Do you hold passport of any foreign country (besides Ghana)?								
3	Do you hold green card of any foreign country (besides Ghana)?								
4	Are you resident in any foreign country?								
<u> </u>	Have you spent more than 183 days in any foreign country? ☐ Yes ☐ No								
_	responses to any of the above questions is Yes, please provide the following information:								
Full Na	me:					П	\top	Τ	
	n Residential Addresss:					Ш	\pm	<u> </u>	
_	n Mailing Address:					\Box	\pm	<u> </u>	
_	n Telephone Number:							<u> </u>	
_	n Tax Identification Number								
	ocial Security Number Lational Identity Number:								
I/We,	hereby confirm the information provi	ded a	bove	is tru	e, accı	ırate a	and co	mple	ete.
c :									
Signa	ure:	_		_/_		_/.			
			DD		MM		YYY	ſΥ	
UNDI	RTAKING TO BE SIGNED ONLY BY THOSE WHO RESPONDED 'YES' TO THE FIRST SET OF QUEST	LIUN	ΔRO)VF					
	tt to the applicable local laws, I hereby give consent to the Institution to share my information with fo				rities v	vhere	neces	ssary	to
establ	sh my tax liability. Where required by domestic or foreign tax authorities, I give my consent and agre restments such amounts as may be required according to the applicable laws of relevant jurisdiction	e tha							
, "		٠.							
Signa	ure:								
٠٠			DD	_/_	MM	_/.	YYY	ſΥ	

OFFICIAL USE ONLY

*CUSTOMER RISK PROFILE					
Client Verification / Screening: Indicate platform o	r media through which client ID and Name was screened				
Level of Risk: □ Low □ Medium □ High					
Nature of High Risk	□ Non-Resident				
Exposure: High Risk Business (Refer to guide)	State nature of business:				
☐ High Risk Country	State Country:				
APPROVALS					
Account opened by: Name of Licensed Officer:	Account approved/authorized by Compliance Officer/AMLRO: Name:				
Position:	Position:				
Signature:	Signature:				
Date://	Date://				
*Accounts of High Risk Nature must be jointly approved by CEO/Executive/Ser	nior Manager and Compliance Officer				
High risk account authorized / approved by Executive / CEO					
Name: Signature:	Date:////				
Comments:					
*CHECKLIST					
Documents Required	Verified				
1. Passport-sized photographs (Account holders / Beneficiaries)					
2. Proof of Identity					
3. Proof of Identity of Account Beneficiary					
4. Proof of Address					
5. Specimen Signature(s)					
6. Email Indemnity (for clients with email address)					
7. Proof of Foreign Address (for Non-Resident clients)					
8. Resident / Work Permit (for Non-Ghanaians)					
9. Executed Management Agreement (Strictly for High Net Worth Clients)					